

Gr-CATEGORIES¹

Summary

The purpose of these notes is to study the Gr-categories and give some applications of them. Below is a brief description of the organisation of the work.

Chapter I gives some definitions and results, which are used continually in the sequel, on \otimes -categories one can find in [2], [6], [11], [14], [15], the terminology employed in this chapter being of Neantro Saavedra Rivano [14]. A \otimes -category is a category \mathcal{C} together with a *law* \otimes , i.e. a covariant bifunctor

$$\begin{aligned}\otimes : \mathcal{C} \times \mathcal{C} &\longrightarrow \mathcal{C} \\ (X, Y) &\mapsto X \otimes Y\end{aligned}$$

An *associativity constraint* for a \otimes -category \mathcal{C} is an isomorphism of bifunctors

$$a_{X,Y,Z} : X \otimes (Y \otimes Z) \xrightarrow{\sim} (X \otimes Y) \otimes Z, \quad X, Y, Z \in Ob(\mathcal{C})$$

satisfying the *pentagon axiom*, i.e. all the pentagonal diagrams

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are commutative. A \otimes -category together with an associativity constraint is called a \otimes -*associativity category*.

A *commutativity constraint* for a \otimes -category \mathcal{C} is an isomorphism of bifunctors

$$c_{X,Y} : X \otimes Y \xrightarrow{\sim} Y \otimes X, \quad X, Y \in Ob(\mathcal{C})$$

¹This text had been transcribed by Mateo Carmona
<https://agrothendieck.github.io/>

verifying the relation

$$c_{Y,X} \circ c_{X,Y} = \text{Id}_{X \otimes Y}$$

The commutativity constraint c is said to be *strict* if $c_{X,X} = \text{Id}_{X \otimes X}$ for all $X \in \text{Ob}(\mathcal{C})$. A \otimes -category together with a commutativity constraint is a \otimes -*commutative category*. A \otimes -commutative category is *strict* if its commutativity constraint is strict.

An *unity constraint* for a \otimes -category \mathcal{C} is a triple $(\underline{1}, g, d)$ where $\underline{1}$ is an object of \mathcal{C} , g and d natural isomorphisms

$$g_X : X \xrightarrow{\sim} \underline{1} \otimes X, \quad d_X : X \xrightarrow{\sim} X \otimes \underline{1}, \quad X \in \text{Ob}(\mathcal{C})$$

such that $g_{\underline{1}} = d_{\underline{1}}$. A \otimes -category together with an unity constraint is a \otimes -*unifer category*.

A \otimes -category \mathcal{C} together with an associativity constraint a and a commutativity constraint c is a \otimes -*AC category* if the *hexagonal axiom* is fulfilled, i.e. all the hexagonal diagram commutes

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A \otimes -category \mathcal{C} together with a associativity constraint a and an unity constraint $(\underline{1}, g, d)$ is a \otimes -*AU category* if all the following triangles commute

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A \otimes -*ACU category* is a \otimes -AC and AU category. An object X of a \otimes -ACU category \mathcal{C} is *invertible* if there are two objects $X', X'' \in \text{Ob}(\mathcal{C})$ such that $X' \otimes X \simeq X \otimes X'' \simeq \underline{1}$.

A \otimes -*functor* from a \otimes -category \mathcal{C} to a \otimes -category \mathcal{C}' is a pair (F, \check{F}) where F is a functor $\mathcal{C} \rightarrow \mathcal{C}'$ and \check{F} an isomorphism of bifunctors

$$\check{F}_{X,Y} : FX \otimes FY \longrightarrow F(X \otimes Y) \quad X, Y \in \text{Ob}(\mathcal{C})$$

A \otimes -functor (F, \check{F}) from a \otimes -associative category \mathcal{C} to a \otimes -associative category \mathcal{C}' is *associative* if the following diagram commutes:

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where a is the associativity constraint of \mathcal{C} and a' of \mathcal{C}' .

A \otimes -functor (F, \check{F}) from a \otimes -commutative category \mathcal{C} to a \otimes -commutative category \mathcal{C}' is *commutative* if the following diagram commutes :

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c and c' being the commutativity constraints of \mathcal{C} and \mathcal{C}' respectively.

A \otimes -functor (F, \check{F}) from a \otimes -category \mathcal{C} with an unity constraint $(\underline{1}, g, d)$ to a \otimes -category \mathcal{C}' with an unity constraint $(\underline{1}', g', d')$ is a \otimes -unifer functor if there exists an isomorphism $\hat{F} : \underline{1}' \xrightarrow{\sim} F\underline{1}$ such that the following diagrams commute:

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It follows from the definition that the isomorphism $\hat{F} : \underline{1}' \xrightarrow{\sim} F\underline{1}$, if it exists, is unique.

A \otimes -AC functor is an \otimes -associative and commutative functor.

A \otimes -ACU functor is a \otimes -associative, commutative and unifer functor.

Let (F, \check{F}) and (G, \check{G}) be \otimes -functors from a \otimes -category \mathcal{C} to a \otimes -category \mathcal{C}' . A \otimes -morphism from the \otimes -functor (F, \check{F}) to the \otimes -functor (G, \check{G}) is a morphism of functors $\lambda : F \longrightarrow G$ such that the following diagram commutes

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Chapter II is a study of Gr-categories and Pic-categories. A Gr-category is a \otimes -AU category, the objects of which are all invertible, and the base category a groupoid (i.e. all arrows are isomorphisms). Thus a Gr-category is like a group. We obtain from this definition that if \mathcal{P} is a Gr-category, the set $\pi_0(\mathcal{P})$ of the classes up to isomorphism of objects of \mathcal{P} , together with the operation induced by the law \otimes of \mathcal{P} , is a group; the group $\text{Aut}(\underline{1}) = \pi_1(\mathcal{P})$ is a commutative group; and for all $X \in \text{Ob}(\mathcal{P})$

$$\gamma_X : u \mapsto u \otimes \text{Id}_X = \text{Aut}(\underline{1}) \xrightarrow{\sim} \text{Aut}(X)$$

$$\delta_X : u \mapsto \text{Id}_X \otimes u = \text{Aut}(\underline{1}) \xrightarrow{\sim} \text{Aut}(X)$$

We attribute thus to a Gr-category \mathcal{P} two groups $\pi_0(\mathcal{P})$ and $\pi_1(\mathcal{P})$ where $\pi_1(\mathcal{P})$ is commutative. Furthermore we can define an action of $\pi_0(\mathcal{P})$ on $\pi_1(\mathcal{P})$ by the formula

$$s u = \delta_X^{-1} \gamma_X(u)$$

for $s \in \pi_0(\mathcal{P})$ represents d by X and $u \in \pi_1(\mathcal{P})$. The commutative group $\pi_1(\mathcal{P})$ together with this action is a left $\pi_0(\mathcal{P})$ -module.

Let M be a group, N a left M -module. A *prepinglage* of type (M, N) for a Gr-category \mathcal{P} is a pair $\varepsilon = (\varepsilon_0, \varepsilon_1)$ of isomorphisms

$$\varepsilon_0 : M \xrightarrow{\sim} \pi_0(\mathcal{P}), \quad \varepsilon_1 : N \xrightarrow{\sim} \pi_1(\mathcal{P})$$

compatible with the action of M on N , $\pi_0(\mathcal{P})$ on $\pi_1(\mathcal{P})$. A Gr-category *prepingled* of type (M, N) is a Gr-category \mathcal{P} together with prepinglage. Finally, an *arrow* of Gr-categories prepingled of type (M, N) $(\mathcal{P}, \varepsilon) \longrightarrow (\mathcal{P}', \varepsilon')$ is a \otimes -associative functor such that the following triangles commute:

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It follows from this definition that a such arrow is a \otimes -equivalence. Thus the set of the equivalence classes of Gr-categories prepingled of type (M, N) is equal to the set of connected components of the category of Gr-categories prepingled of type (M, N) .

If we consider the cohomology group $H^3(M, N)$ of the group M with coefficients N (in the sense of the group cohomology [12]) we obtain a canonical bijection between the set $H^3(M, N)$ and the set of the equivalence classes of Gr-categories prepingled of type (M, N) .

A *Pic-category* is a Gr-category together with a commutativity constraint which is compatible with its associativity constraint, i.e. the hexagon axiom is satisfied. Thus a Pic-category is like a commutative group. We verify immediately that a necessary condition for the existence of a Pic-category structure on a Gr-category is that $\pi_0(\mathcal{P})$ must be commutative and act trivially on $\pi_1(\mathcal{P})$. A Pic-category is *strict* if its commutativity constraint is strict.

Let M, N be abelian groups. A *prepinglage* of type (M, N) for a Pic-category \mathcal{P} is a pair $\varepsilon = (\varepsilon_0, \varepsilon_1)$ of isomorphisms

$$\varepsilon_0 : M \xrightarrow{\sim} \pi_0(\mathcal{P}), \quad \varepsilon_1 : N \xrightarrow{\sim} \pi_1(\mathcal{P})$$

A Pic-category *prepingled* of type (M, N) is a Pic-category together with a prepinglage. We define the *arrow* of such objects in the same way as for Gr-categories.

For next propositions, let us consider two complexes of free abelian groups

$$L_\bullet(M) : L_3(M) \xrightarrow{d_3} L_2(M) \xrightarrow{d_2} L_1(M) \xrightarrow{d_1} L_0(M) \longrightarrow M$$

$$'L_{\bullet}(M) : 'L_3(M) \xrightarrow{'d_3'} 'L_2(M) \xrightarrow{'d_2'} 'L_1(M) \xrightarrow{'d_1'} 'L_0(M) \longrightarrow M$$

where

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so that $L_{\bullet}(M)$ is a truncated resolution of M . One obtains a canonical bijection between the set of the equivalence classes of Pic-categories prepingled of type (M, N) and the set $H^2(Hom('L_{\bullet}(M), N))$. The exactitude of the complex $L(M)$ gives us the triviality of the classification of Pic-categories prepingled of type (M, N) which are strict, i.e. all Pic-categories prepingled of type (M, N) which are strict, are equivalent.

Finally chapter III gives us the construction of the solution of two universal problems: *problem of making objects “unity objects”* and *problem of reversing objects*.

Let \mathcal{C} be a \otimes -AC category, $'$ another \otimes -AC category whose base category is a groupoid, and $(T, \check{T}) : ' \longrightarrow \mathcal{C}$ a \otimes -AC functors. We try to make the objects TA' of \mathcal{C} , $A' \in Ob(')$, “unity object”, i.e. we try to get:

1°) A \otimes -ACU category \mathcal{P}

2°) A \otimes -AC functor $(D, \check{D}) : \mathcal{C} \longrightarrow \mathcal{P}$

3°) A \otimes -isomorphism

$$\lambda : (D, \check{D}) \circ (T, \check{T}) \xrightarrow{\sim} (I_{\mathcal{P}}, \check{I}_{\mathcal{P}})$$

where $(I_{\mathcal{P}}, \check{I}_{\mathcal{P}})$ is the \otimes -constant functors $\underline{1}_{\mathcal{P}}$ from $'$ to \mathcal{P} . The triple $(\mathcal{P}, (D, \check{D}), \lambda)$ must be universal for triples $(\mathcal{C}, (E, \check{E}), \mu)$ satisfying 1°, 2°, 3°.

For the description of the triple $(\mathcal{P}, (D, \check{D}), \lambda)$, we introduce a quotient category of a \otimes -AC category as follows:

Let \mathcal{C} be a \otimes -AC category, Y a *multiplicative subset* of \mathcal{C} (that means a subset of the set of all endomorphisms of \mathcal{C} such that $Id_X \in Y$ for all $X \in Ob(\mathcal{C})$) and the tensor product of two arrows of \mathcal{C} belongs to Y . The \otimes -AC category quotient \mathcal{C}^Y of \mathcal{C} with respect to Y is the solution of the universal problem

$$(K, \check{K}) : \mathcal{C} \longrightarrow \mathcal{C}^Y, \quad K(u) = Id \text{ for all } u \in Y$$

where \mathcal{C} is a \otimes -AC category and (K, \check{K}) a \otimes -AC functor.

Now let us give an idea of the construction of the triple $(\mathcal{P}, (D, \check{D}), \lambda)$ for $' \neq \emptyset$:

1° $\text{Ob}(\mathcal{P}) = \text{Ob}()$

2° $\text{Hom}_{\mathcal{P}}(A, B) = \varphi(A, B)_{/R_{A,B}}, A, B \in \text{Ob}(\mathcal{P})$

$\varphi(A, B)$ being the set of all triples (A', B', u) where $A', B' \in \text{Ob}()$, $u \in \text{Fl}()$, $u : A \otimes TA' \longrightarrow B \otimes TB'$; $R_{A,B}$ the equivalence relation defined in $\varphi(A, B)$ as follows

$$(A'_1, B'_1, u) R_{A,B} (A'_2, B'_2, u)$$

if and only if there are objects C'_1, C'_2 and isomorphisms

$$u' : A'_1 \otimes C'_1 \xrightarrow{\sim} A'_2 \otimes C'_2, \quad v' : B'_1 \otimes C'_1 \xrightarrow{\sim} B'_2 \otimes C'_2$$

of $'$ such that the following diagram commutes in $\varphi \otimes \text{AC}$ quotient category of with respect to the multiplicative subset of generated by the endomorphisms of the form $T(c_{A', A'})$;

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We denote by $[A', B', u]$ the class which has (A', B', u) as representative

3° Composition of arrows in \mathcal{P} . Let $[A', B', u] : A \longrightarrow B$, $[B'', C'', v] : B \longrightarrow C$ be arrows in \mathcal{P} . We define

$$[B'', C'', v] \circ [A', B', u] = [A' \otimes B'', B' \otimes C'', w] : A \longrightarrow C$$

where w is such that the following diagram commutes:

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4° \otimes -structure on \mathcal{P}

$$A \otimes E \text{ (in } \mathcal{P}) = A \otimes E \text{ (in)}$$

$$[A', B', u] \otimes [E', F', v] = [A' \otimes E', B' \otimes F', w]$$

where w is defined by the commutative diagram (1)

5° ACU constraint in \mathcal{P} .

$$([A', A', a \otimes \text{Id}], [A', A', c \otimes \text{Id}], (1_{\mathcal{P}} = TA'_0, g_A = [A'_0 \otimes A', A', t_A], d_A = [A'_0 \otimes A', A', p_A]))$$

where A'_0 is a fixed object of $'$, A' an arbitrary object of $'$, g_A and d_A natural isomorphisms

$$g_A : A \longrightarrow 1_{\mathcal{P}} \otimes A, \quad d_A : A \longrightarrow A \otimes 1_{\mathcal{P}}$$

with t_A and p_A defined by the commutativity diagrams (2)

6° (D, \check{D}) is defined by

$$DA = A, \quad D_u = [A', A', u \otimes \text{Id}_{TA'}], \quad \check{D}_{A,B} = \text{Id}_{A \otimes B}$$

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For the problem of reversing objects, let us consider a \otimes -category \mathcal{C} with a ACU constraint $(a, c, (1, g, d))$ a \otimes -category \mathcal{C}' with a ACU constraint $(a', c', (1', g', d'))$, the base category of which is a groupoid, and a \otimes -ACU functor $(F, \check{F}) : \mathcal{C}' \longrightarrow \mathcal{C}$. We try to find a \otimes -ACU category \mathcal{P} and a \otimes -ACU functor $(D, \check{D}) : \mathcal{C} \longrightarrow \mathcal{P}$ having the following properties

1° DFX' is invertible in \mathcal{P} for all $X' \in \text{Ob}(\mathcal{C}')$

2° For all \otimes -ACU functor (E, \check{E}) from \mathcal{C} to a \otimes -ACU category such that EFX' is invertible in for all $X' \in \text{Ob}(\mathcal{C}')$, there exists a \otimes -ACU functor (E', \check{E}') , unique up to \otimes -isomorphism, from \mathcal{P} to such that $(E, \check{E}) \simeq (E', \check{E}') \circ (D, \check{D})$.

This problem is reduced by the first by putting $' = \mathcal{C}' = \mathcal{C} \times \mathcal{C}'$, $TX' = (FX', X')$ and by remarking that if $\mathcal{C}, \mathcal{C}'$, are \otimes -ACU categories, ${}^{\otimes, ACU}(\mathcal{C},)$ the category of all \otimes -ACU functors from \mathcal{C} to , then there is a canonical equivalence of categories

$${}^{\otimes, ACU}(\mathcal{C} \times \mathcal{C}',) \longrightarrow {}^{\otimes, ACU}(\mathcal{C},) \times {}^{\otimes, ACU}(\mathcal{C}',)$$

The \otimes -ACU category \mathcal{P} thus defined is called the \otimes -category of fractions of the category \mathcal{C} with respect to $(\mathcal{C}', (F, \check{F}))$. The \otimes -category of fractions of \mathcal{C}^{is} with respect to $(\mathcal{C}^{is}, (\text{Id}_{\mathcal{C}^{is}}, \text{Id}))$ is a Pic-category which is called the Pic-envelope of the category \mathcal{C} , and denoted by $\text{Pic}(\mathcal{C})$.

For an application of the Pic-envelope, we take $\mathcal{C} = P(R)$, category of all finitely generated R -modules (R a ring) and $\mathcal{P} = \text{Pic}(P(R))$, then one obtain

$$\pi_0(\mathcal{P}) \simeq K^0(R)$$

$$\pi_1(\mathcal{P}) \simeq K^1(R)$$

where $K^0(R)$ is the Grothendieck group and $K^1(R)$ the whitehead group [1].

The use of the \otimes -category of fractions of a \otimes -ACU category gives us the following result:

Let \mathcal{C} be a \otimes -ACU category, Z an arbitrary object of \mathcal{C} different from the unity object $\underline{1}$, S the functor from \mathcal{C} to \mathcal{C} defined by

$$X \mapsto X \otimes Z.$$

The *suspension category* of the \otimes -ACU category \mathcal{C} defined by the object Z is the triple (\mathcal{P}, i, p) which solves the universal problem for triples $(, j, q)$ where \mathcal{C} is a category, j a functor from \mathcal{C} to \mathcal{C} , and q an equivalence of categories from \mathcal{C} to \mathcal{C} , so that the following diagram commutes

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up to natural isomorphism. In the case where \mathcal{C} is the homotopy category of pointed topological spaces \mathcal{C}_* together with the smash \wedge (the smash \wedge of two spaces X and Y , with the base points x_0 and y_0 , is obtained from the product $X \times Y$ by \wedge the subset $\{x_0\} \times Y \cup X \times \{y_0\}$ to a single point which is taken as the base point of \wedge), and the usual ACU constraint; and Z is the 1-sphere S^1 hence S^1 is the suspension functor, we get the well-known definition of the suspension category.

Let \mathcal{C}' be the \otimes -stable subcategory of \mathcal{C} generated by Z and \mathcal{P} the \otimes -category of fractions of \mathcal{C} with respect to $(\mathcal{C}', (F, \text{Id}))$ where $F : \mathcal{C}' \rightarrow \mathcal{C}$ is the inclusion functor. One obtains a functor $G : \mathcal{P} \rightarrow \mathcal{P}$ from the suspension category to the \otimes -category of fractions of \mathcal{P} . If G is not faithful, that is the case of the homotopy category of pointed topological spaces \mathcal{C}_* together with the smash \wedge and the 1-sphere S^1 ; then it is impossible to construct in \mathcal{P} a law \otimes such that \mathcal{P} together with this law is a \otimes -ACU category, iZ invertible in \mathcal{P} , and i embedded in a pair (i, \check{i}) which is a \otimes -ACU functor from \mathcal{C} to \mathcal{P} .

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